

Interim Results of a Phase 2 Study With RBT-1 Evaluating Postoperative Course in Patients Undergoing Elective CABG/Valve Surgery on Cardiopulmonary Bypass

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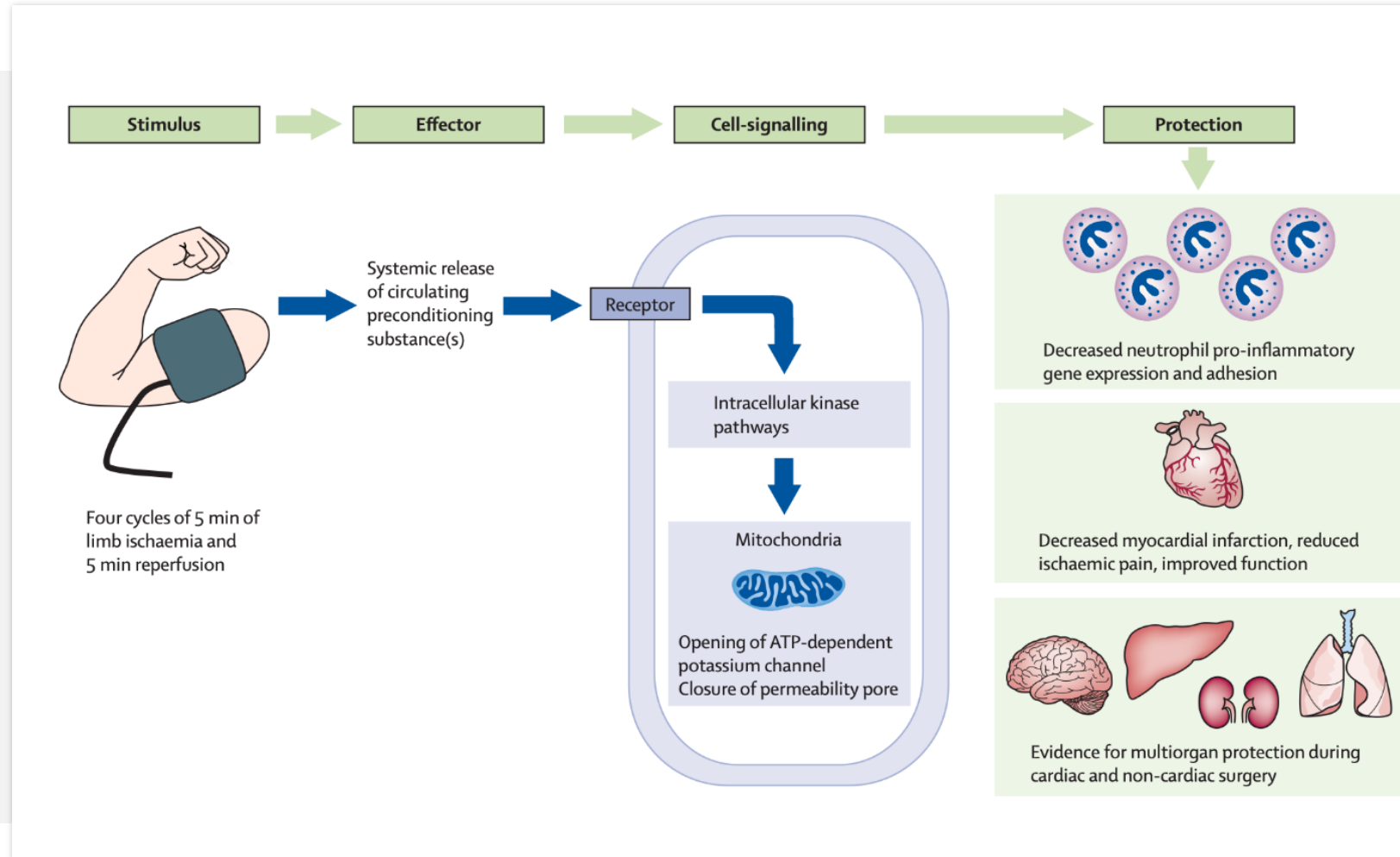
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AHA Scientific Sessions
November 6, 2022

Preconditioning as a Means for Multiorgan Protection

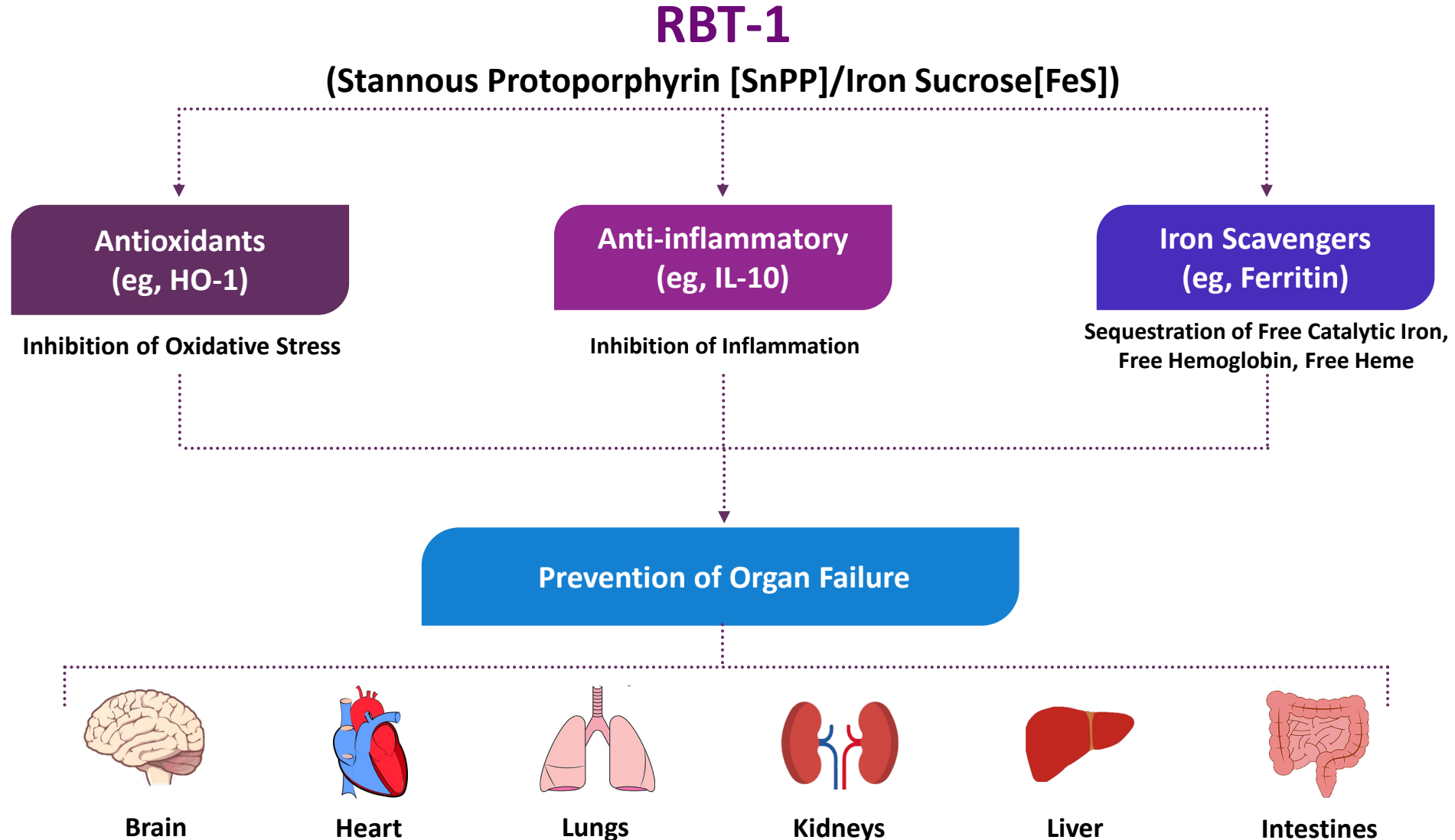
- **Preconditioning** involves **priming a stress response to elicit protection against subsequent injury**
- **As early as 1929**, it was observed that the **kidneys of animals** previously exposed to (**preconditioned** by) various minor stressors **acquired resistance to organ failure**
- **In the early 1990s, remote ischemic preconditioning (RIPC)**, which involves a brief induction of ischemia and reperfusion to distal tissues using a sphygmomanometer in the upper arm or leg, was introduced



Sources: Nath M and Agarwal A, 2020 *Kidney Res Clin Pract*; Honda N et al, 1987 *Kidney Int*; Jacob KA and Leaf DE, 2019 *Anesthesiol Clin*; Kharbanda RK et al, 2009 *Lancet*

RBT-1 – Pharmacologic Approach to Preconditioning

The mechanism of action of RBT-1 is applicable to multiorgan protection, beyond the kidneys



Phase 2 Study of RBT-1 In Subjects Undergoing CABG and/or Valve Surgery on Cardiopulmonary Bypass

Randomized, double-blind, placebo-controlled, multi-center (US, Canada, Australia)

Primary Objective

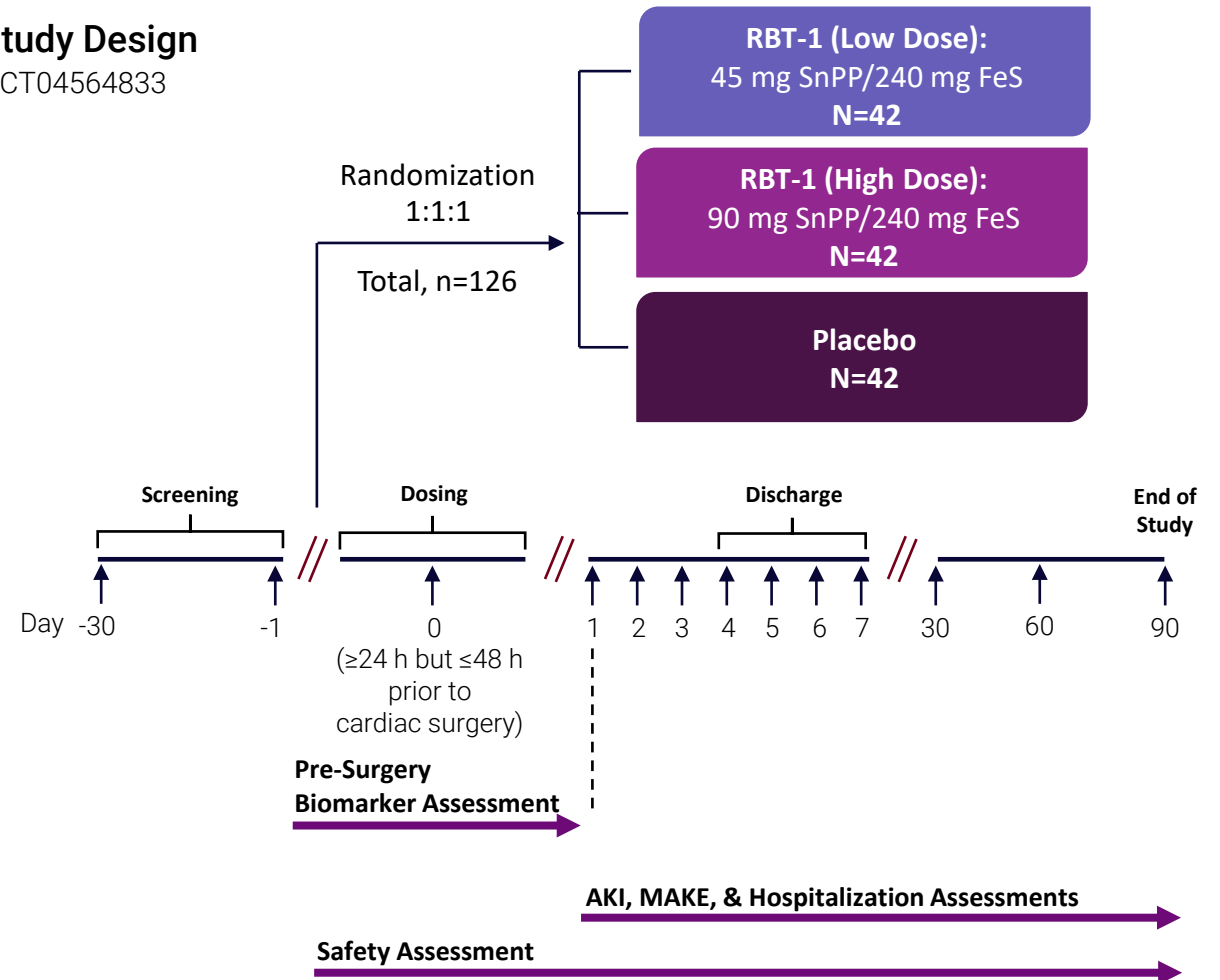
Effect of RBT-1 in generating a **preconditioning response**, measured by a **composite of plasma biomarkers** (heme oxygenase-1 [HO-1], **ferritin**, and interleukin-10 [IL-10]) from Baseline (pre-dose)

Key Secondary and Exploratory Objectives

- Days on **ventilator**
- Days in intensive care unit (**ICU**)
- **Hospital length of stay**
- Incidence of acute kidney injury (**AKI**)
- Incidence of Major Adverse Kidney Events (**MAKE**)
- **Readmission rate**
- **Safety**

Study Design

NCT04564833



Phase 2 Interim Analysis Population

- The overall study population was **not** enriched for events
- The **efficacy population** included:
 - Subjects who **received study drug** and **underwent surgery without delay**
 - The **first 60 subjects** who **completed the Day 30 evaluation**
 - Placebo: N=22
 - Low Dose: N=18
 - High Dose: N=20
- The **safety population** included **all subjects who received study drug** (n=63)
 - Placebo, N=23
 - Low Dose, N=20
 - High Dose, N=20

Baseline Demographics

DEMOGRAPHICS	Placebo (N=23)	RBT-1 (N=40)
Mean Age (yrs)	66.5	66.3
Sex		
Female, N (%)	6 (26.1)	9 (22.5)
Male, N (%)	17 (73.9)	31 (77.5)
Race		
Black, N (%)	1 (4.3)	0
Asian, N (%)	1 (4.3)	2 (5.0)
White, N (%)	21 (91.3)	38 (95.0)
Time of Infusion Before Surgery		
N	22	39
Mean (hrs)	38.2	40.48
Surgery Type		
CABG Alone, N (%)	12 (52.2)	19 (47.5)
Valve Alone, N (%)	4 (17.4)	12 (30.0)
CABG + Valve, N (%)	7 (30.4)	9 (22.5)
Duration of Surgery		
N	22	39
Mean (hrs)	4.866	4.80
Time on Pump		
N	22	39
Mean (hrs)	1.842	1.95

Baseline Risk Factors

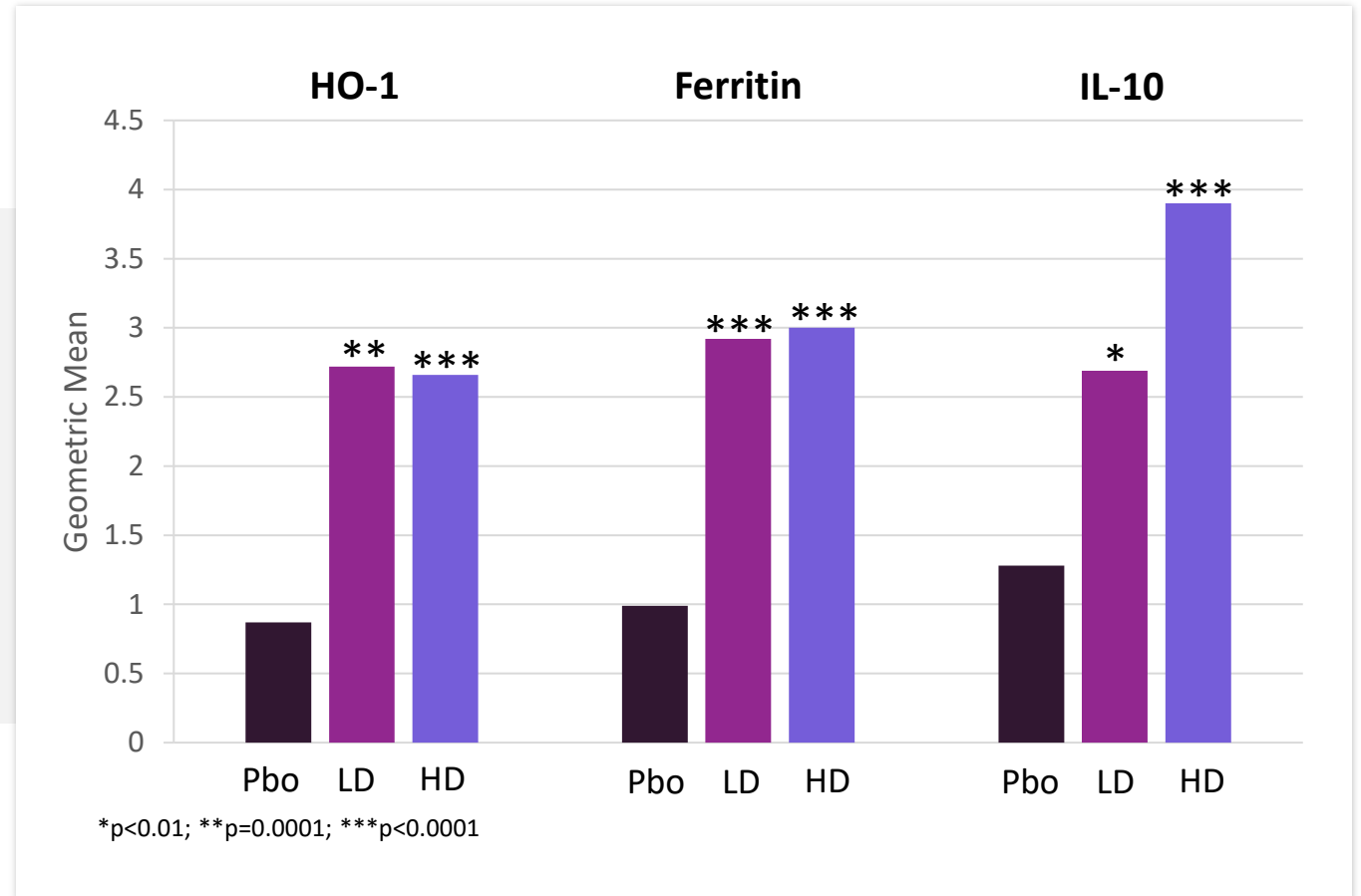
RISK FACTORS	Placebo (N=22)	RBT-1 (N=38)
Age ≥65 years	12 (54.5)	26 (68.4)
Combined CABG and valve surgery	7 (31.8)	9 (23.7)
Previous cardiac surgery with sternotomy	0 (0.0)	0 (0.0)
Documented heart failure (NHYA III/IV) within 1 year prior to surgery	0 (0.0)	3 (7.9)
LVEF ≤35%	1 (4.5)	4 (10.5)
Congestive heart failure	5 (22.7)	7 (18.4)
Diabetes mellitus requiring insulin	1 (4.5)	5 (13.2)
Pre-operative anemia (hemoglobin <10 g/dL upon screening)	1 (4.5)	0 (0.0)
Currently hospitalized for management of cardiac or pulmonary disease	4 (18.2)	5 (13.2)
eGFR ≥20 to <60 mL/min/1.73m ²	4 (18.2)	10 (26.3)

Statistically Significant Increase in Cytoprotective Response Biomarkers with Both Low Dose and High Dose RBT-1

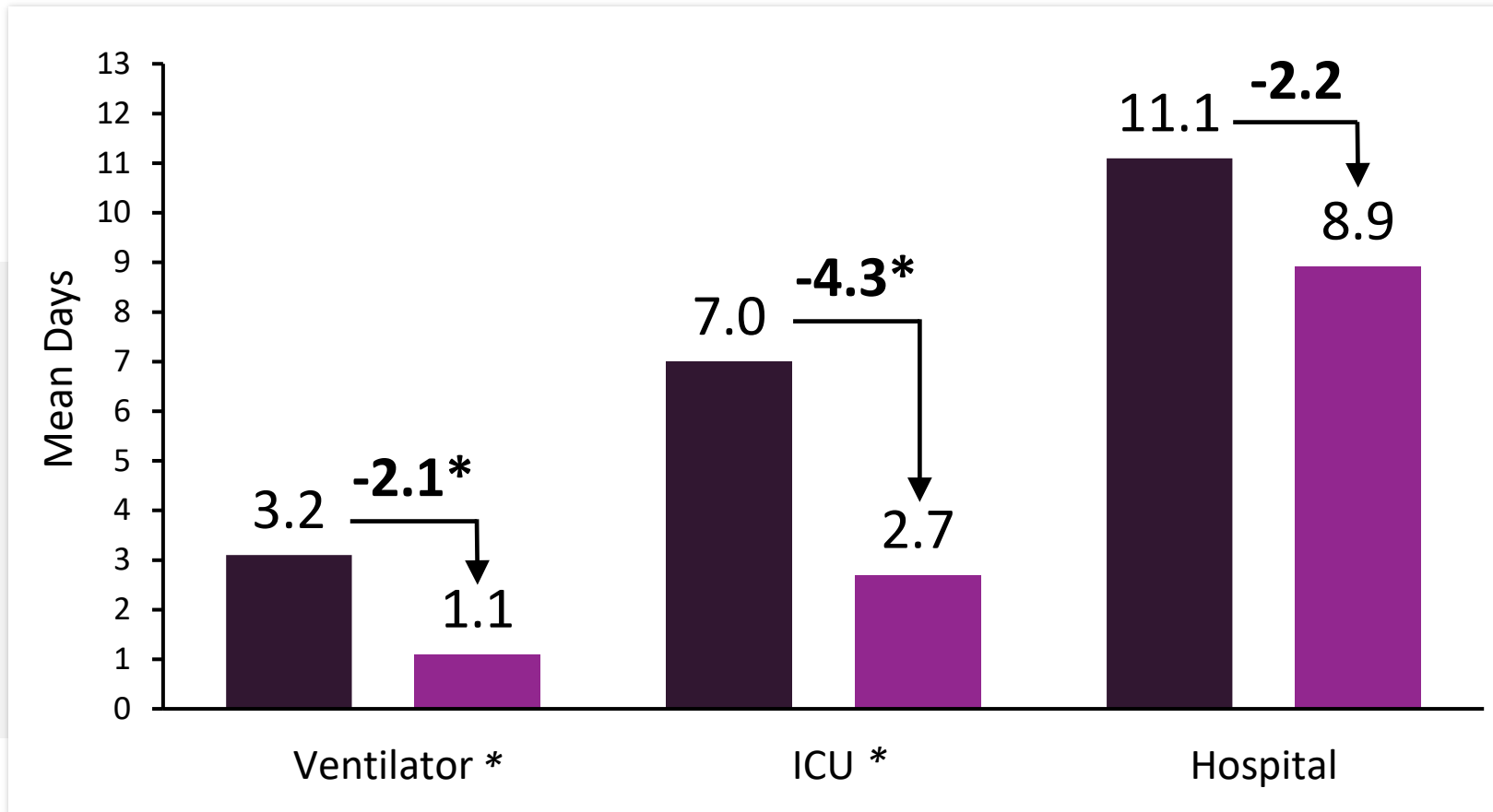
Primary Endpoint Met

Composite Biomarker Response

	Placebo (N=22)	Low Dose (N=18)	High Dose (N=20)
Mean	1.04	2.75	3.15
P-value vs Pbo		<0.0001	<0.0001

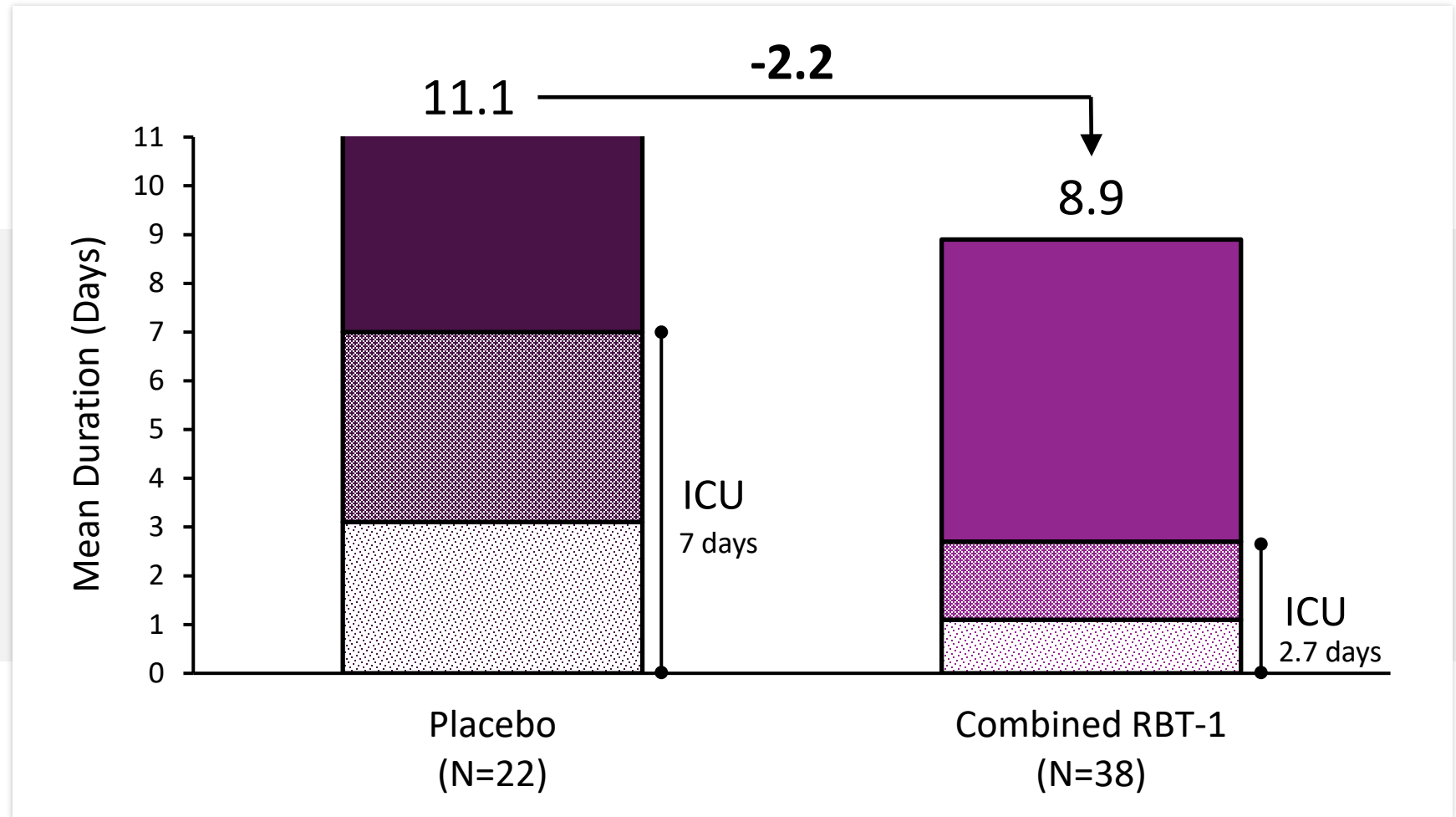
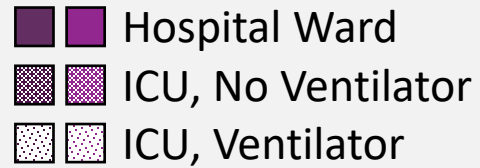


Statistically Significant Reduction in Ventilator and ICU Time in Patients Treated with RBT-1

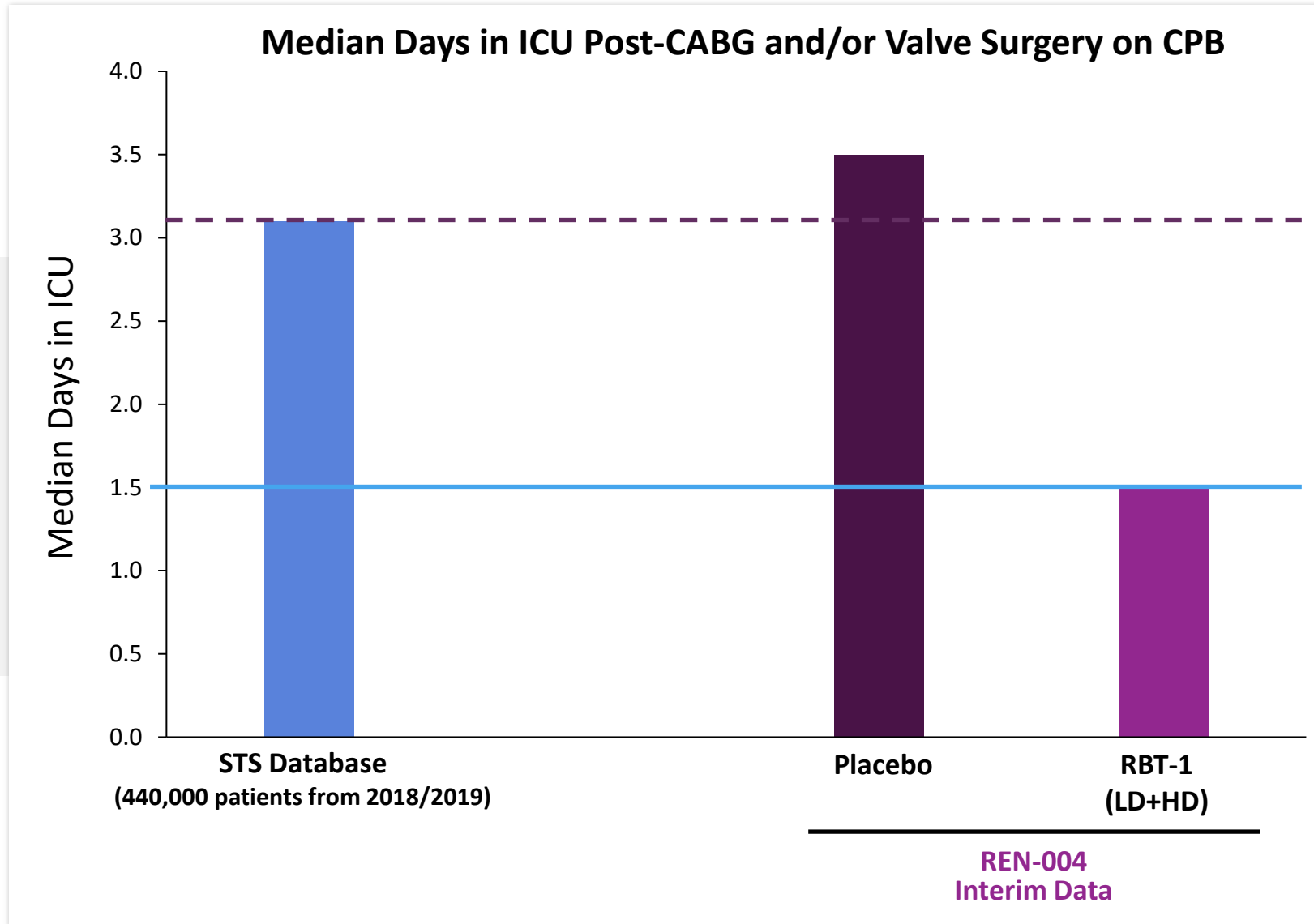


* $p < 0.05$ vs placebo

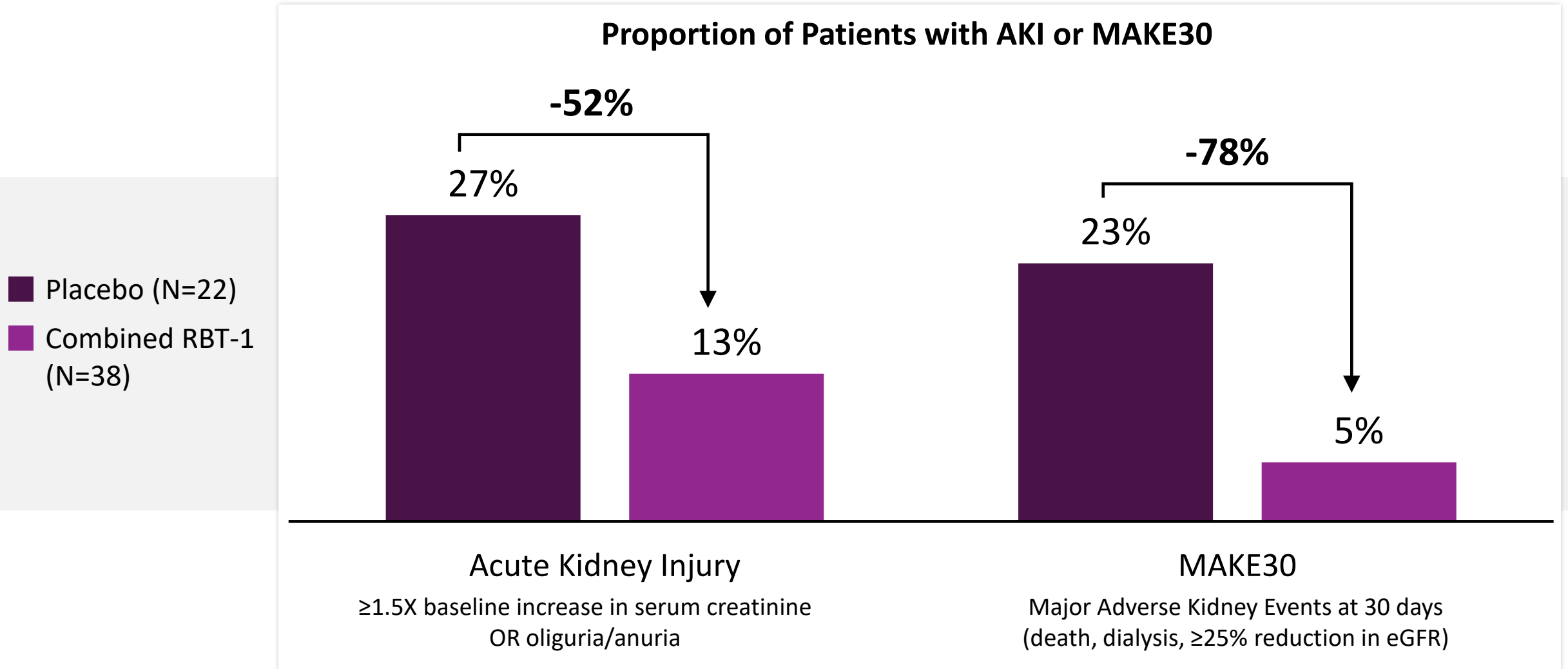
Improvement in Mean Duration and Composition of Hospitalization in Patients Treated with RBT-1



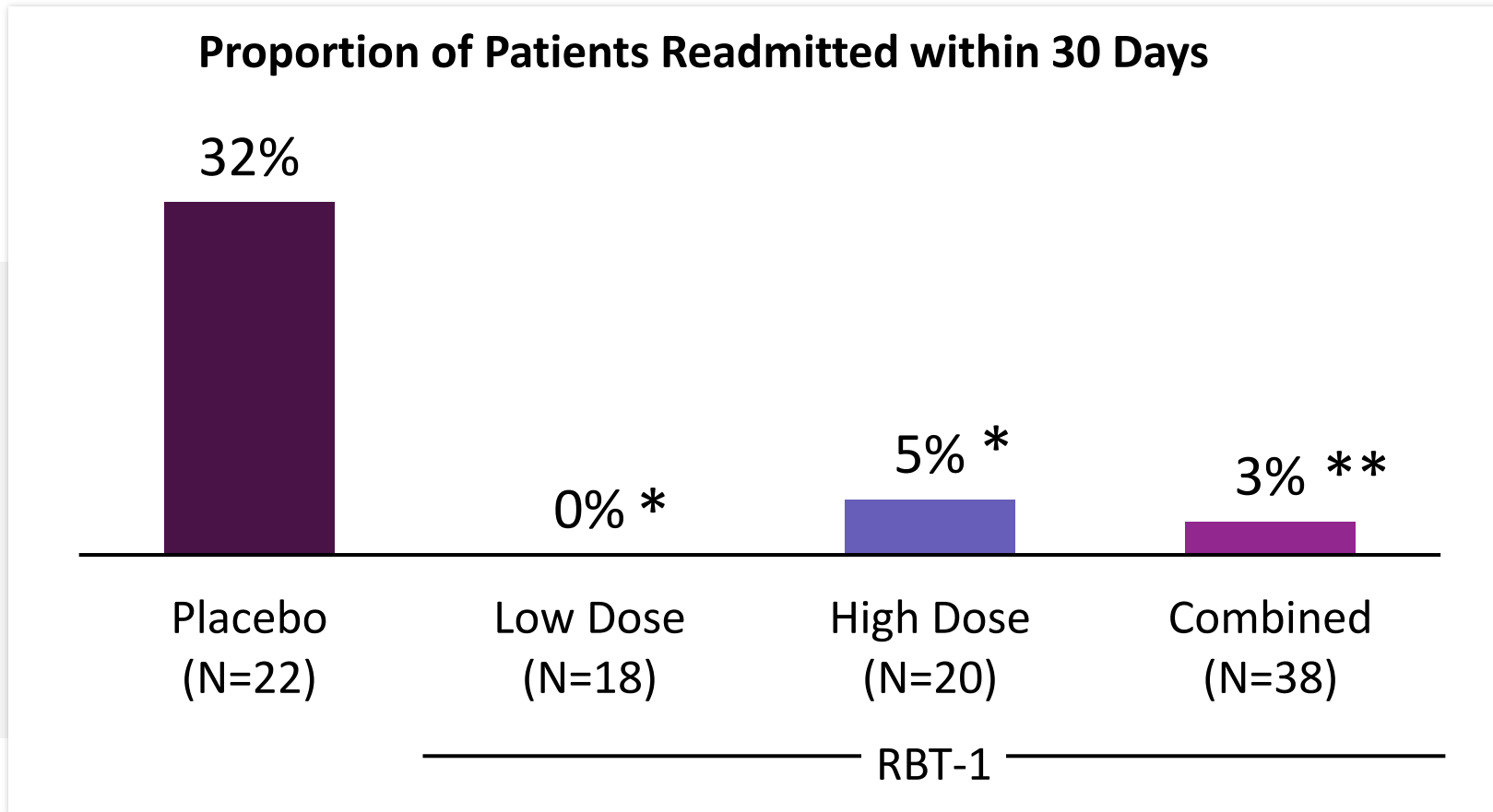
Median ICU Days in Placebo Group is Similar to Historical Control from STS Database



Clinically Meaningful Decrease in Rates of AKI and MAKE30 in Patients Treated with RBT-1



Statistically Significant Decrease in 30-Day Readmission Rates in Patients Treated with RBT-1



Readmissions were primarily due to cardiopulmonary reasons:

- CHF/Worsening CHF
- Worsening pulmonary hypertension
- Pleural effusion
- Pericardial effusion
- Post-cardiotomy syndrome
- Left groin hematoma

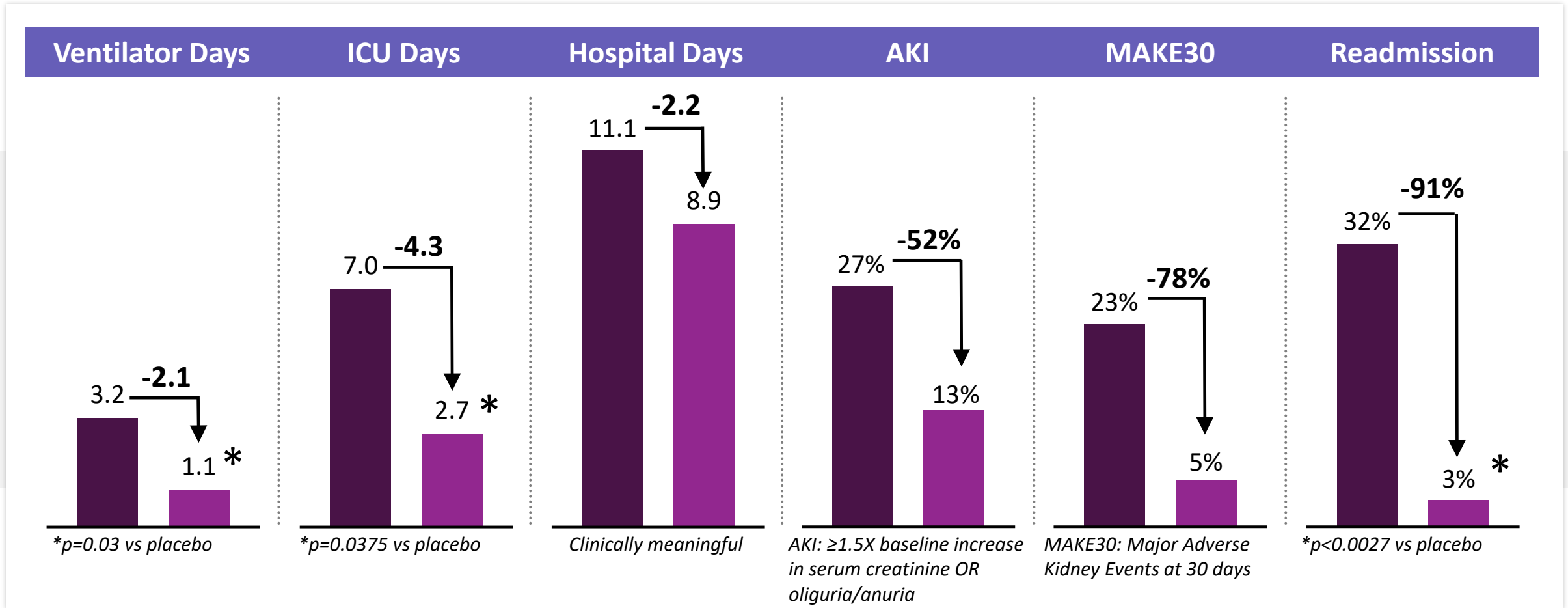
* $p < 0.05$ vs placebo
** $p < 0.003$ vs placebo

RBT-1 Safety Profile Demonstrates It Is Well Tolerated

- Related adverse events (AEs) **limited to photosensitivity**
 - Generally mild to moderate
 - Transient
 - Manifests primarily as pruritus and erythema (similar to a sunburn)
 - Resolves without the need for intervention
- **No treatment discontinuations** have occurred as a result of photosensitivity
- **Photosensitivity can be avoided** with proper use of sunscreen (SPF 30+) and avoidance of direct exposure to the sun, especially when sun rays are strongest

RBT-1 Improves Postoperative Outcomes in Patients Undergoing Cardiac Surgery

■ Placebo (N=22)
■ Combined RBT-1 (N=38)



RBT-1 was recently granted Fast Track Designation from the FDA

Summary of RBT-1 Interim Phase 2 Study Data (N=60)

Statistically **significant increase in biomarkers** of cytoprotective preconditioning (primary endpoint; $p < 0.0001$)

Statistically significant **reduction in ICU days and ventilator days** ($p < 0.05$)

Clinically meaningful **reduction in hospital length of stay** (2-day reduction)

Clinically meaningful **decrease in rates of AKI (-52%) and MAKE30 (-78%)**

Statistically significant **decrease in 30-day hospital readmission rates** ($p < 0.003$)